



# Enhancing Thermospheric Specification via Ensemble Data Assimilation

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**Goal:** Improve the specification of physics-based model initial conditions to maximize the predictability of the ionosphere-thermosphere (I-T) system

**Approach:** Employ ensemble data assimilation methods with abundantly available observation data

The upper atmosphere is controlled by external forcing ( $\mathbf{d}$ ) and initial conditions ( $\mathbf{x}$ ), here we focus on direct state estimation to improve forecast skill in aims to capture smaller scale features

### 1. Forecast Step

Propagate with model dynamics:

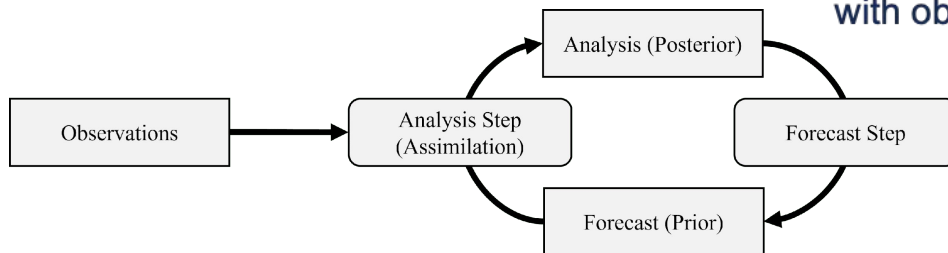
$$p(\mathbf{x}_{k-1}, \mathbf{d}_{k-1} | Y_{k-1}) \rightarrow p(\mathbf{x}_k, \mathbf{d}_k | Y_{k-1})$$

### 2. Analysis Step

Bayesian update:

$$p(\mathbf{x}_k, \mathbf{d}_k | Y_k) \propto p(\mathbf{y}_k | \mathbf{x}_k) p(\mathbf{x}_k, \mathbf{d}_k | Y_{k-1})$$

with observations  $Y_k = y_k, y_{k-1}, \dots$

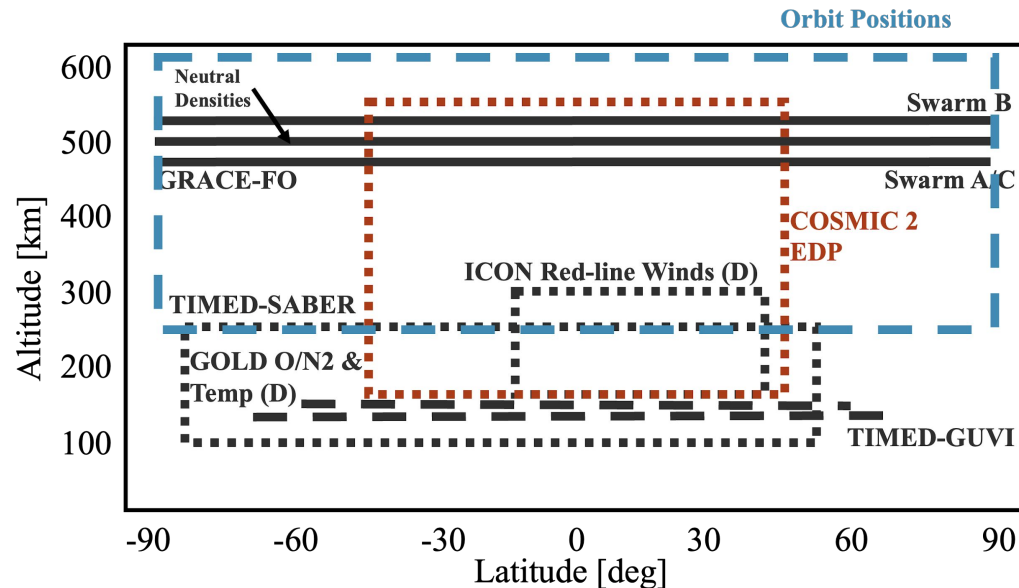


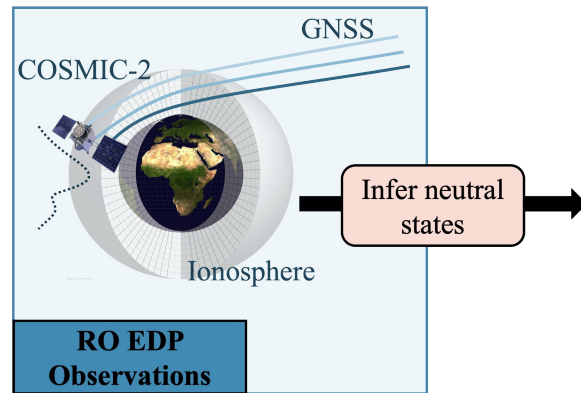
**Upper atmosphere observations** – considerable gaps in direct neutral state observations

Two approaches:

- 1) Assimilating *radio occultation (RO) plasma observations*
- 2) Assimilating *orbit position observations*

Data coverage of satellite observations for in-situ (solid), altitude profiles (dotted), and height integrated (dashed). (D) denotes dayside only observations.





Approach #1

# SPECIFYING NEUTRAL STATES USING ABUNDANT PLASMA OBSERVATIONS

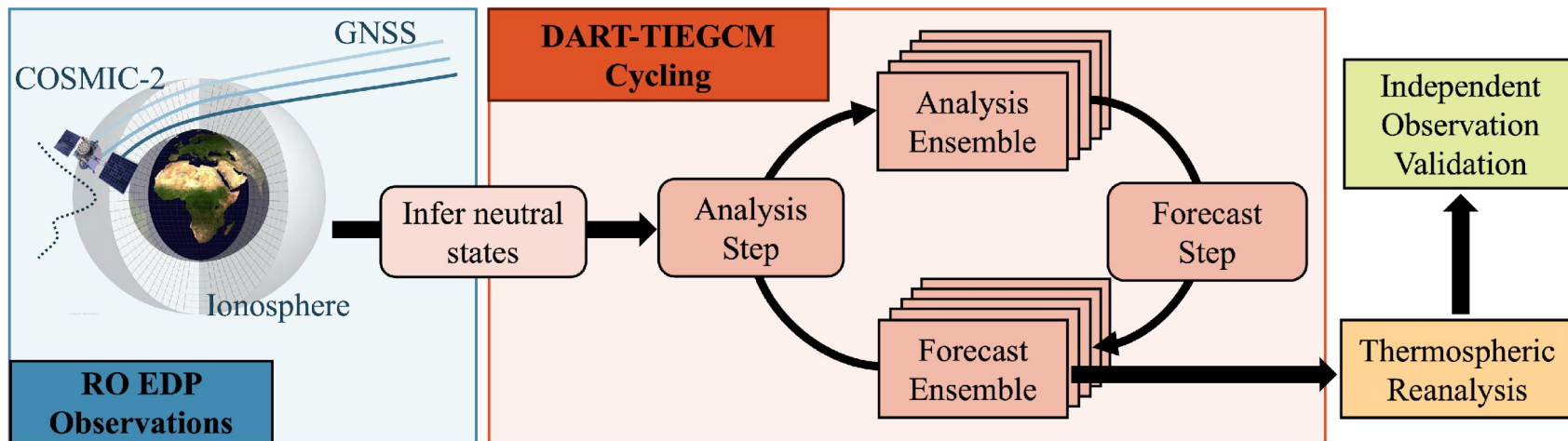
## Specifying Neutral States using Abundant Plasma Observations

### Project Overview

**Objective:** Build towards developing a reanalysis of a geomagnetic storm event

**Approach:** Leverage radio occultation electron density profiles (EDPs) to constrain neutral states in the Thermosphere Ionosphere Electrodynamics General Circulation Model (TIEGCM), using the Ensemble Adjustment Kalman Filter (EAKF).

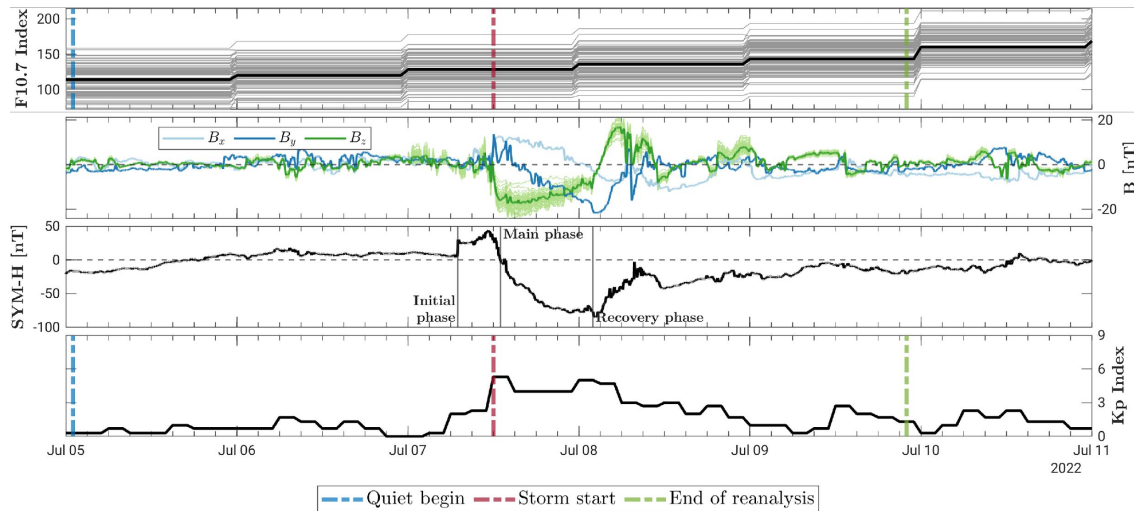
- Apply during isolated storm case



# Specifying Neutral States using Abundant Plasma Observations

## Experiment Period

- **Period:** July 5th - July 10<sup>th</sup>, 2022 (  $-85$   $Dst$  on. July 7<sup>th</sup>)
- **Experiment model:** TIEGCM 2.5° res  
(Qian et al., 2014)
- **Filter:** EAKF (Anderson, 2001)
- **Observations:** COSMIC-2 EDPs
  - 6,000 profiles per day at low- to mid-latitudes
- **Updated state vector:**  $[f_{e^-}; f_{O^+}; f_{Tn}]$
- **Ensemble:** 90 members, initialized with



### Ensemble initialization:

- 1) Solar irradiance (F10.7 index)
- 2) Geomagnetic forcing (solar wind)
- 3) Lower atmosphere (GSWM)
- 4) Internal processes (O-O<sup>+</sup> collision frequency)

### Addressing observation errors

- 1) Quality control
- 2) Outlier thresholding

# Specifying Neutral States using Abundant Plasma Observations

## Storm Experiment Verification

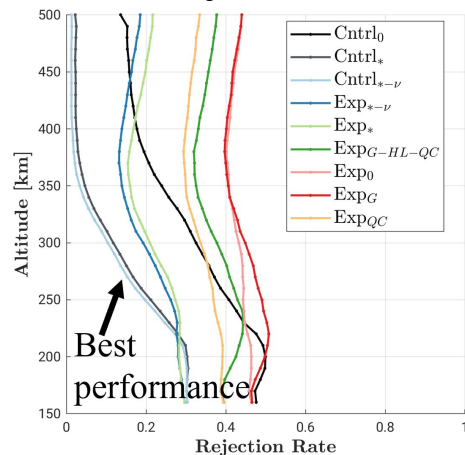
Rejection rates:

- a) **Control** (no DA) - 10%
- b) **Experiment** (with DA) - 20%

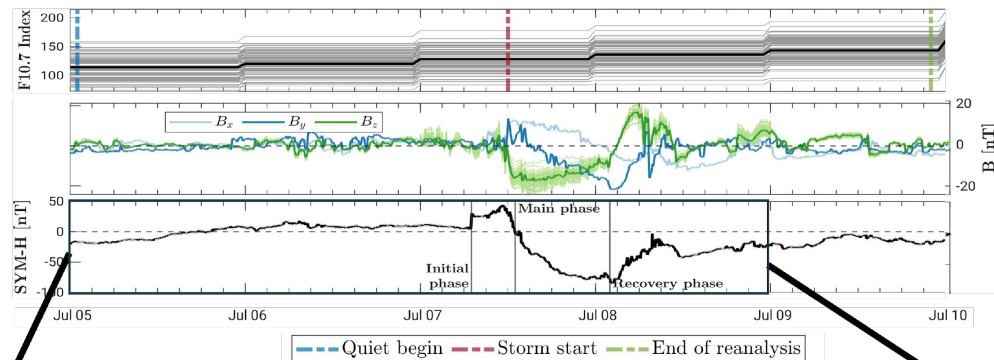
Forecast EDP RMSEs show a 20% improvement

→  $Exp_{*-storm}$  is the chosen “reanalysis”

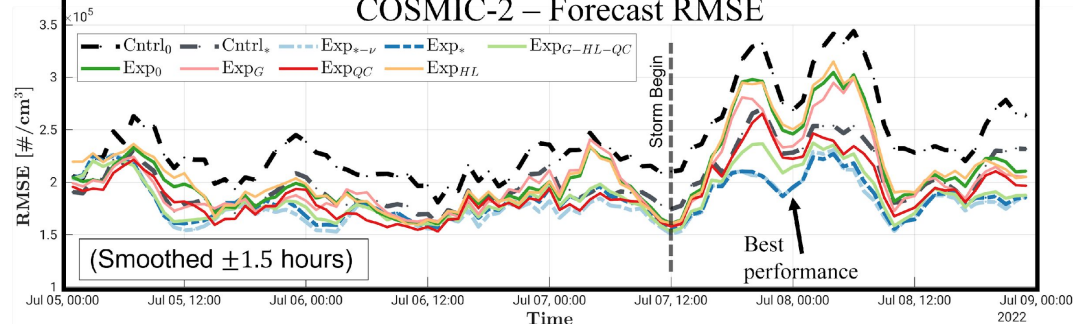
Obs rejection rate



**Storm: July 7th, 2022 (peak  $-85$  Dst)**



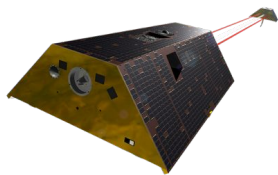
**COSMIC-2 – Forecast RMSE**



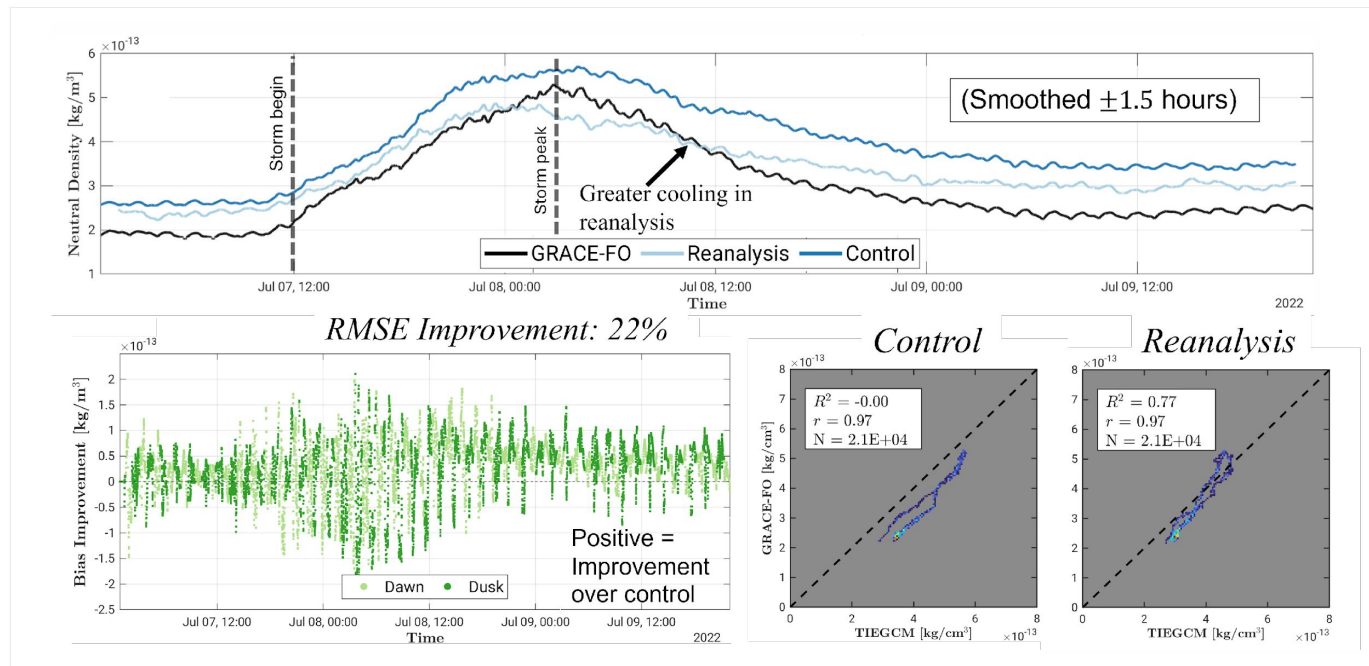
# Specifying Neutral States using Abundant Plasma Observations

## Storm Experiment: Neutral Density Validation

Improved agreement with GRACE-FO neutral densities (contains small-scale structures) – 20% RMSE reduction



GRACE-FO samples ~500 km at dawn (local time 6) and dusk (local time 19)





# Specifying Neutral States using Abundant Plasma Observations

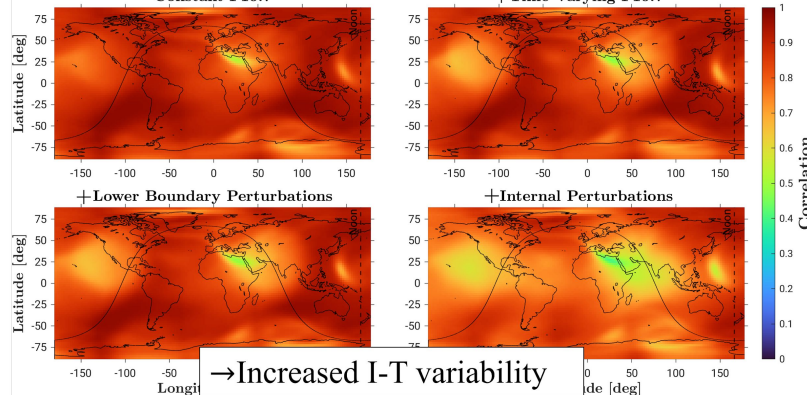
## Background Covariance: Plasma → Neutral State Correlations

There is an increase in the model variability (shown by background correlation) with added model perturbations

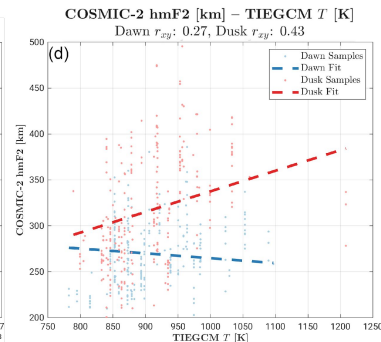
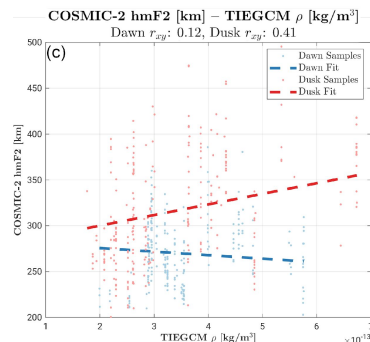
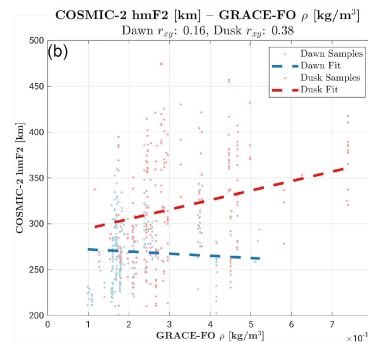
Locally averaged correlations:

(Quiet)  $f_{e^-} \rightarrow f_{TN}$   
Constant F10.7

+ Time-Varying F10.7

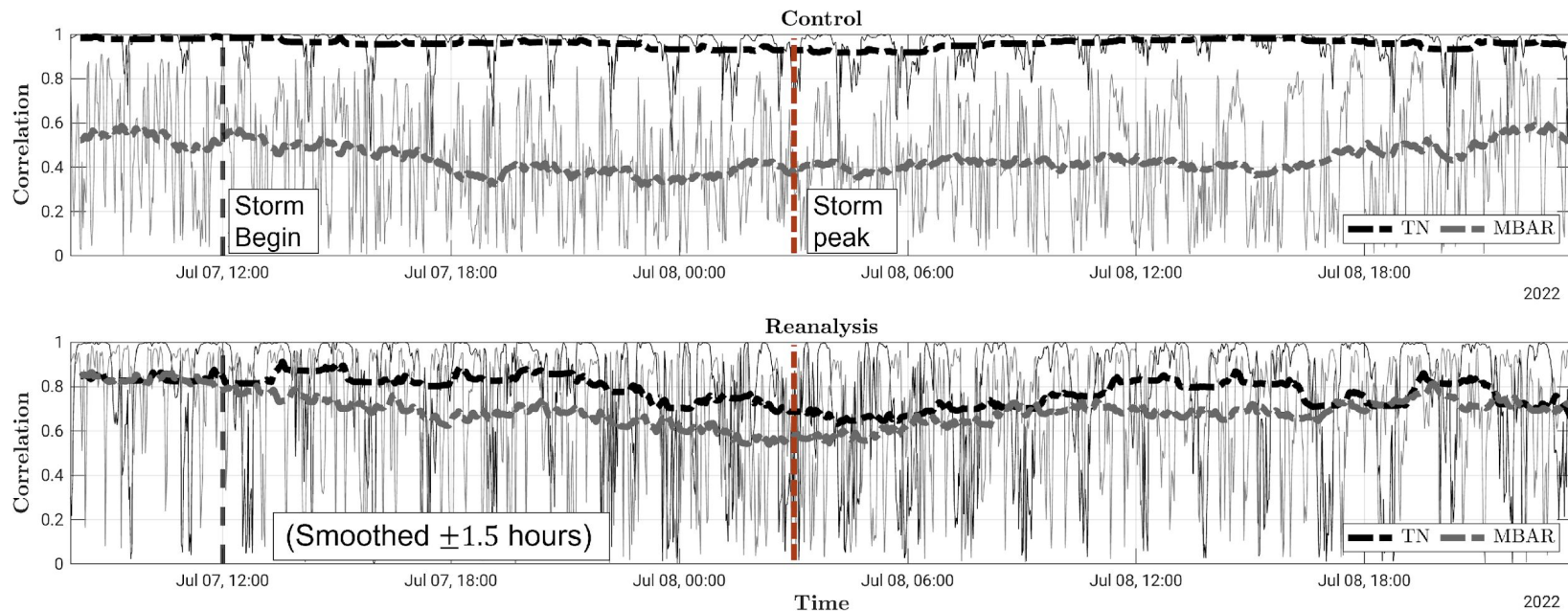


The dusk LT show higher correlations between EDPs and neutral. The TIEGCM matches these correlations.



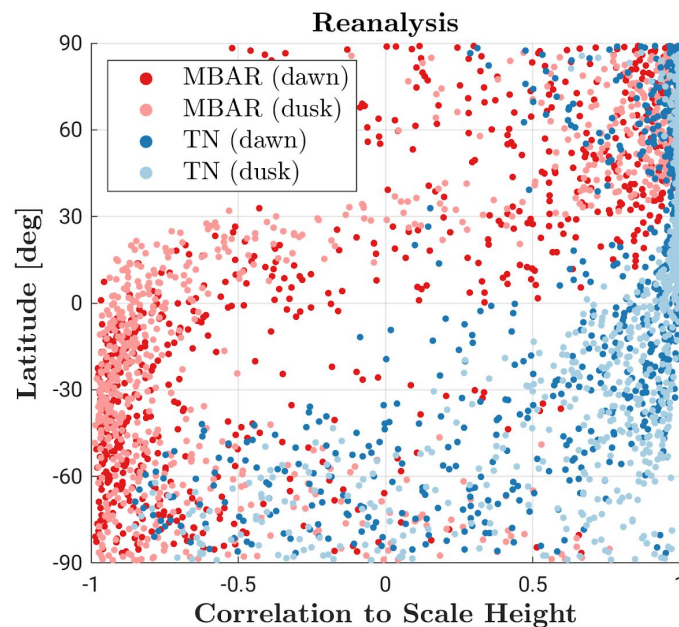
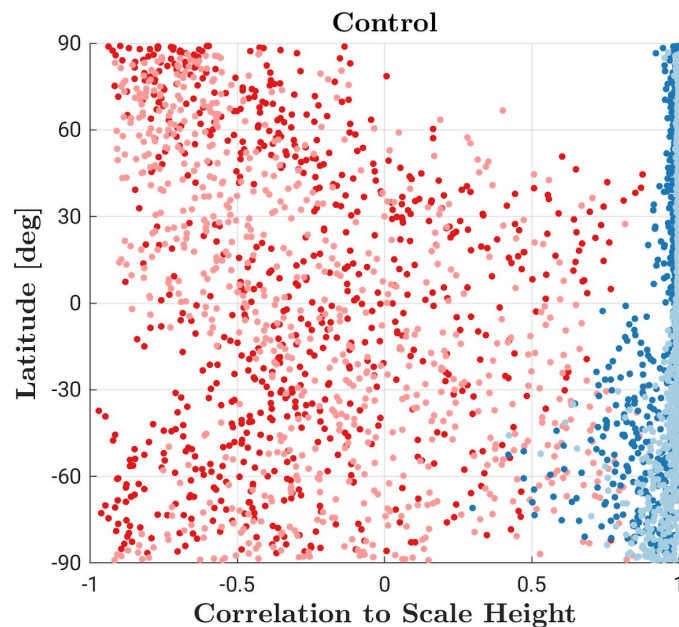
## Specifying Neutral States using Abundant Plasma Observations

How is the neutral density estimate controlled in the reanalysis? Looking at neutral state correlations to scale-height



Data assimilation neutral density adjustments can be explained by neutral temperature (TN) and composition (MBAR) change – MBAR and TN have more equal contributions to variability

We see a strong latitude dependence in the correlations that is very apparent in the reanalysis

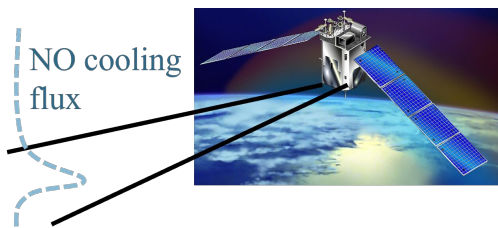


# Specifying Neutral States using Abundant Plasma Observations

## Storm recovery: comparison with TIMED-SABER NO cooling

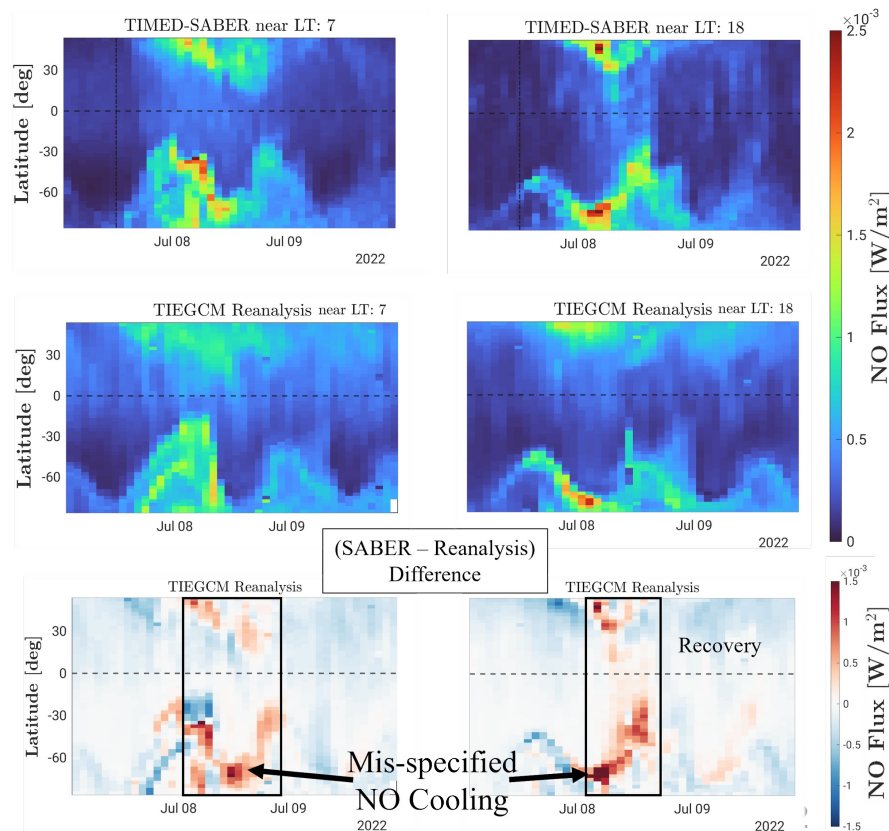
We only see a slight impact on NO cooling fluxes from the DA on (peak at alts  $\sim 130$  km)

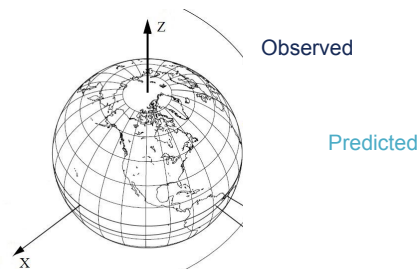
- RO EDP retrievals worsen at these altitudes
- Potentially weaker dynamical correlations



Recovery timescales, assuming an exponential recovery rate:

GRACE-FO	10.9 hours
Control	12.9 hours
Reanalysis	11.9 hours





Approach #2

# **SPECIFYING NEUTRAL STATES USING ABUNDANT ORBIT POSITION OBSERVATIONS**

**Approach:** Use available satellite position data in low Earth orbit (LEO) to directly constrain thermospheric states in NEPTUNE-LETKF

Satellite position data are abundantly available and don't require dedicated science missions

- Includes constellations Starlink and Spire, TLE data, JPsOC tracked positions

Question whether we can extract smaller-scale structures using abundant “noisy” satellite position data

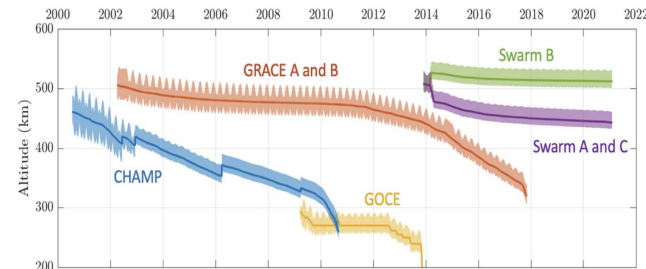
- Can at least fix global scale biases at ~400-500 km altitude
- Using a model (NEPTUNE) the represents smaller scale structures and variability

Atmospheric drag equation:

$$a_{aero} = \frac{1}{2} C_D \frac{A}{m} \rho v^2$$

*S. Bruinsma et al.*

*Advances in Space Research xxx*



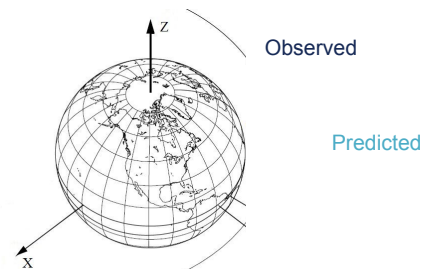
Density observations from accelerometers are best, but are only from science missions:



# Specifying Neutral States with Satellite Orbit Observations

## Data Assimilation Approach

- **Experiment model:** NEPTUNE (surface – 500 km)
- **Filter:** ETKF
  - (Future: LETKF in JEDI Framework)
- **Observations:** Orbit position observations
- **Cycling:** [1 – 24 hours]
  - Question of retrieving integrated signal
- **Ensemble:** ~80 members
  - Only has perturbations from the lower atmosphere
- **Experiment period:** April 13<sup>th</sup>, 2020



**Approach:** Simulation experiments to learn how to best constrain thermospheric states with integrated orbit observations.

Within filter, we will assimilate the difference in the predicted satellite position from its observed state:

$$y^{obs} = h(x, \rho) + \varepsilon$$

- The LETKF can readily use this orbit time-integrated information

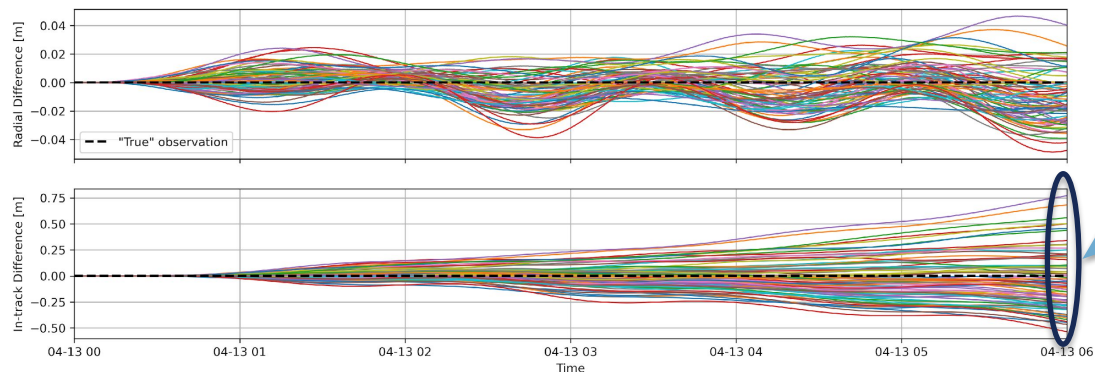
**Forward Model:** Orbit propagator predicting satellite position:

$$[\mathbf{r}; \mathbf{v}]_{t_2} = h([\mathbf{r}; \mathbf{v}]_{t_1}, \rho)$$

Where orbital dynamics included are:

$$\mathbf{a}([\mathbf{r}; \mathbf{v}], \rho) = -\frac{\mu}{r^3} \mathbf{r} + \mathbf{a}_{gravity}(\mathbf{r}) + \mathbf{a}_{drag}(\mathbf{r}, \mathbf{v}, \rho) + \mathbf{a}_{third-body}(\mathbf{r}) + \mathbf{a}_{SRP}(\mathbf{r})$$

*Propagating simulated orbit ( ~430 km alt)  
gives the resulting orbit position errors*



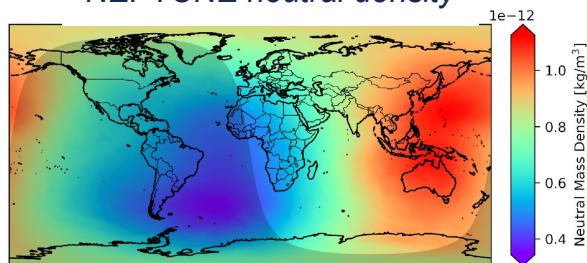
*Currently assimilating in-track  
these in-track position errors*



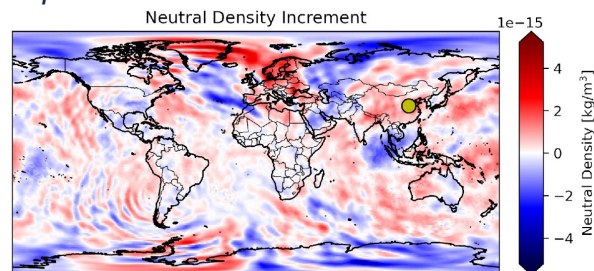
# Specifying Neutral States with Orbit Positions

## Pre-preliminary Increments: single observation update of neutral density with ETKF

*Mean background  
NEPTUNE neutral density*

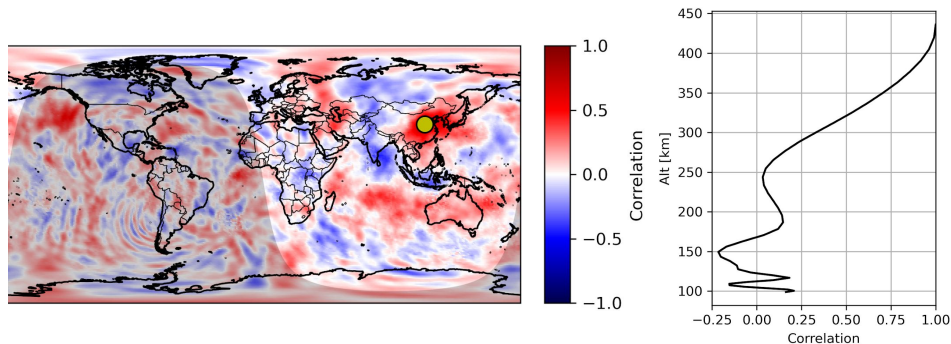


*Assimilating in-track orbit  
position observations*

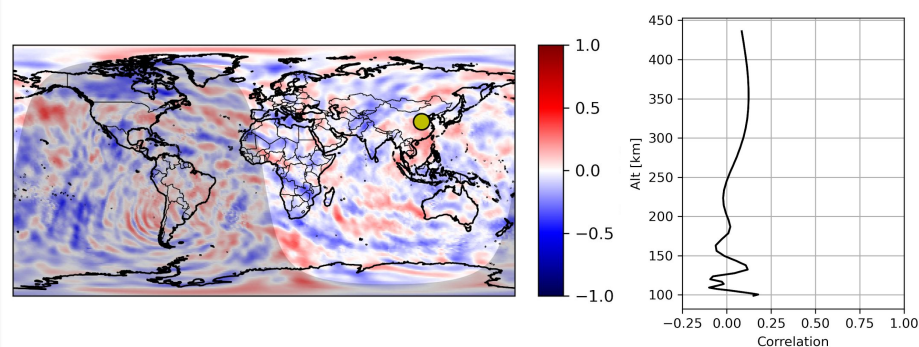


*(Note: ensemble  
perturbations are  
only from the lower  
atmosphere)*

*Correlation (grid point neutral density -> neutral density)*



*Correlation (Satellite pos -> neutral density)*



## Conclusions

### Using plasma observations (RO EDPs) to constrain neutral states

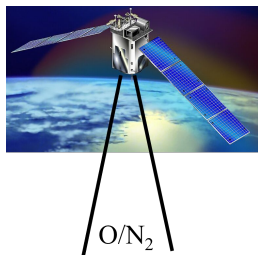
- Demonstrates usefulness of plasma observations to improve representation of neutral densities
  - RMSEs reduced by 20% compared with GRACE-FO and improved storm recovery
- See mixed results for compositions and cooling lower in thermosphere
  - There are challenges to validate a reanalysis – must get lucky that satellites are sampling the same latitudes & local times
- In support of towards future thermospheric reanalysis – transparent for scientific insights
  - Specification of global models enables better observation comparisons
- It is important to consider what the DA impact is on the model: is it physical? Are the results realistic?
  - Ensemble methods strongly rely on ensemble covariance

### Using orbit position observations to constrain neutral states

- Very preliminary results, under active development, but promising
- Can see small-scale correlations from NEPTUNE's lower atmospheric forcing
- Investigating the best space to assimilate these data

# Extra Slides

## TIMED-GUVI Validation – Mixed results improving and worsening biases



Samples down LTs (6-10)

Composition is altered due from  
neutral temperature coupling

